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27572 7590 03/13/0008 HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828			EXAMINER	
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BLOOMFIELD HILLS, MI 48303			ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No. Applicant(s) 10/773.050 SALAM ET AL. Office Action Summary Examiner Art Unit PETER COUGHLAN 2129 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 19 December 2007. 2a) ☐ This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 43-48.51-67 and 70-88 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) 43-48,51-67 and 70-88 is/are rejected. 7) Claim(s) _____ is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) ☐ The drawing(s) filed on 2/5/2004 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date. Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) Notice of Informal Patent Application 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date _ 6) Other:

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Detailed Action

- This office action is in response to an AMENDMENT entered December 19, 2007 for the patent application 10/773050 filed on February 5, 2004.
- All previous Office Actions are fully incorporated into this Non-Final Office Action by reference.

Status of Claims

3. Claims 43-48, 51-67, 70-88 are pending.

Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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Claims 43-48, 51-57, 59-67, 70-76, 78-80 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oh in view of Lehmann. ('Analog CMOS Implementation of Artificial Neural Networks for Temporal Signal Learning', referred to as **Oh**; 'Mixed analog/digital matrix-vector multiplier for neural networks synapses', referred to as **Lehmann**)

Claim 43

Oh teaches an array of synaptic cells, which are interconnected to form a feedforward neural network, wherein each synaptic cell includes. (**Oh**, p4:19 through p5:2)

Oh does not teach a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor in the cell, a digital memory operable to store the local weight in a digital form.

Lehmann teaches a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor in the cell, a digital memory operable to store the local weight in a digital form. (Lehmann, abstract, p61, C1:36 through C2:21: 'Learning electrical circuit operable to update a local weight according to an update rule' of applicant is equivalent to 'analog storage (a capacitor) which would accumulate weight changes during learning' of Lehmann. 'A digital memory operable to store the local weight in a digital form' of applicant is equivalent to digital weight' of Lehmann.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Oh by using both

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analog and digital storage devices as taught by Lehmann to have a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor in the cell, a digital memory operable to store the local weight in a digital form.

For the purpose of having low hardware overhead for learning.

Oh teaches a processing electrical circuit comprised of a one-dimensional multiplier which is operable to process a component of an analog input signal in accordance with the local weight stored in the digital memory. (Oh, abstract; 'One dimensional multiplier' of applicant is equivalent to 'vector multiplier' of Oh.)

Claim 44

Oh teaches wherein each column of synaptic cells in the array of synaptic cells represents a neuron in the neural network. (**Oh**, Figure 6.2; One function of a neuron is to use its output for training to convergence. The column(s) of Figure 6.2 illustrate this by using the outcome of 'error' circuit for training purposes.)

Claim 45

Oh teaches an array of control cells positioned adjacent to the array of synaptic cells, where each control cell controls conversion of the local weight from an analog form to a digital form for a row of synaptic cells in the array of synaptic cells. (Oh, p116:2-17; Oh discloses an analog to digital converter to have analog input stored in digital form.)

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Claim 46

Oh teaches wherein the control cells operate synchronously with each other to control conversion. (Oh, p12:14 through p13:3; Oh discloses in the McCulloch-Pitts model, in which neurons operate in asynchronous manner. Using Oh's model in which the feedforward neural network is interconnected allows synchronously operations to occur.)

Claim 47

Oh teaches wherein each control cell includes a multiplexer configured to select a synaptic cell in a given row of synaptic cells (**Oh**, figure 6.2; Figure 6.2 illustrates numerous multiplexers within the recurrent neural network.) and an analog-to-digital converter. (**Oh**, p116:2-17)

Claim 48

Oh teaches wherein the analog-to-digital converter for a given row of synaptic cells is interconnected to each synaptic cell in the given row of synaptic cells. (Oh, p116:2-17)

Claim 51

Oh teaches a switch interposed between the processing circuit and the digital memory for selectively enabling use of the digital memory. (Oh, p116:2-17; Oh

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discloses a second recurrent neural network (slave neural network) which employs the weight values from the first recurrent neural network. These values are updated using the digital memory. If a user can alter between the first and second recurrent neural network, then there exists a 'switch' to do so.)

Claim 52

Oh teaches a switch interposed between the processing circuit and the learning circuit for selectively enabling use of the learning circuit. (**Oh**, p116:2-17; Oh discloses a first recurrent neural network (master neural network) which employs the learning phase of the neural network or learning circuit of applicant. These values are updated using the digital memory. If a user can alter between the first and second recurrent neural network, then there exists a 'switch' to do so.)

Claim 53

Oh teaches a switch interposed between the processing circuit, the learning circuit and the digital memory for selectively enabling the use of either the learning circuit or the digital memory by the processing circuit. (**Oh**, p116, 2-17; If there exists two phases, a learning phase and a testing phase, then there exists a switch to alter between the two phases.)

Claim 54

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Oh teaches the learning circuit is comprised of a one-dimensional multiplier for implementing the update rule. (Oh, abstract; 'One dimensional multiplier' of applicant is equivalent to 'vector multiplier' of Oh.)

Claim 55

Oh teaches the learning circuit is configured to receive an error signal indicative of a difference between an output signal and a target output signal. (Oh, p15:9 through p16:7; 'Output signal' and 'target output signal' of applicant is equivalent to 'actual output' and 'desired output' of Oh.)

Claim 56

Oh teaches multiple arrays of synaptic cells, which are interconnected to form a multi-layer neural network. (Oh, figure 6.2; Figure 6.2; The 2 dimensional array which each node is interconnected with other nodes of Oh is equivalent to 'multi-layer neural network' of applicant.)

Claim 57

Oh teaches the learning circuit further comprises a second multiplier configured to receive the error signal and generates a feedback propagation error signal for a previous layer in the multi-layer neural network. (Oh, p17:5-6 and equation (2.11); 'Error signal' of applicant is equivalent to 'w^{k-1} of Oh.)

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Claim 59

Oh teaches a digital-to-analog converter interposed between the processing circuit and the digital memory in each synaptic cell. (**Oh**, p116:2-17; Oh discloses a digital to analog converter for outputting the value of the synaptic cell.)

Claim 60

Oh teaches wherein each processing circuit in a column of synaptic cells outputs a component of a weighted sum. (**Oh**, p13:4 through p15:8; 'Processing circuit in a column' of applicant is equivalent to 'the 'hidden layer' of Oh. 'Outputs a component of a weighted sum' of applicant is equivalent to the output of each node in the hidden layer is a result of the weighted sum input.)

Claim 61

Oh teaches wherein an output of each multiplier in a column of synaptic cells is interconnected to form a weighted sum. (**Oh**, figure 5.5; Oh discloses the output of each multiplier (Y₁-Y₄) is fed back as a column to form an interconnection of synaptic cells.)

Claim 62

Oh teaches an array of synaptic cells which are interconnected to form a feedforward neural network (Oh, p4:19 through p5:2) and configured to receive an

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analog input signal indicative of a biological cell measurement and to model a process of the biological cell, wherein each synaptic cell includes (Oh, p3:6-10; 'Configured to receive ... analog input' of applicant is disclosed by a 'subthreshold analog CMOS VLSI' of Oh.)

Oh does not teach a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor in the cell, a digital memory in the cell operable to store the local weight in a digital form.

Lehmann teaches a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor in the cell, a digital memory in the cell operable to store the local weight in a digital form. (Lehmann, abstract, p61, C1:36 through C2:21: 'Learning electrical circuit operable to update a local weight according to an update rule' of applicant is equivalent to 'analog storage (a capacitor) which would accumulate weight changes during learning' of Lehmann. 'A digital memory operable to store the local weight in a digital form' of applicant is equivalent to digital weight' of Lehmann.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Oh by using both digital and analog storage devices as taught by Lehman to have a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor in the cell, a digital memory in the cell operable to store the local weight in a digital form.

For the purpose of having low hardware overhead for learning.

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Oh teaches a processing electrical circuit comprised of a one-dimensional multiplier which is operable to process a component of the analog input signal in accordance with the local weight stored in the digital memory. (Oh, abstract; 'One dimensional multiplier' of applicant is equivalent to 'vector multiplier' of Oh.)

Claim 63

Oh teaches wherein each column of synaptic cells in the array of synaptic cells represents a neuron in the neural network. (**Oh**, Figure 6.2; One function of a neuron is to use its output for training to convergence. The column(s) of Figure 6.2 illustrate this by using the outcome of 'error' circuit for training purposes.)

Claim 64

Oh teaches an array of control cells positioned adjacent to the array of synaptic cells, where each control cell controls conversion of the local weight from an analog form to a digital form for a row of synaptic cells in the array of synaptic cells. (Oh, p116:2-17; Oh discloses an analog to digital converter to have analog input stored in digital form.)

Claim 65

Oh teaches wherein the control cells operate synchronously with each other to control conversion. (Oh, p12:14 through p13:3; Oh discloses in the McCulloch-Pitts model, in which neurons operate in asynchronous manner. Using Oh's model in which

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the feedforward neural network is interconnected allows synchronously operations to occur.)

Claim 66

Oh teaches wherein each control cell includes a multiplexer configured to select a synaptic cell in a given row of synaptic cells (**Oh**, figure 6.2; Figure 6.2 illustrates numerous multiplexers within the recurrent neural network.) and an analog-to-digital converter. (**Oh**, p116:2-17)

Claim 67

Oh teaches wherein the analog-to-digital converter for a given row of synaptic cells is interconnected to each synaptic cell in the given row of synaptic cells. (Oh, p116:2-17)

Claim 70

Oh teaches a switch interposed between the processing circuit and the digital memory for selectively enabling use of the digital memory. (**Oh**, p116:2-17; Oh discloses a second recurrent neural network (slave neural network) which employs the weight values from the first recurrent neural network. These values are updated using the digital memory. If a user can alter between the first and second recurrent neural network, then there exists a 'switch' to do so.)

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Claim 71

Oh teaches a switch interposed between the processing circuit and the learning

circuit for selectively enabling use of the learning circuit. (Oh, p116:2-17; Oh discloses a

first recurrent neural network (master neural network) which employs the learning phase

of the neural network or learning circuit of applicant. These values are updated using

the digital memory. If a user can alter between the first and second recurrent neural

network, then there exists a 'switch' to do so.)

Claim 72

Oh teaches a switch interposed between the processing circuit, the learning

circuit and the digital memory for selectively enabling the use of either the learning

circuit or the digital memory by the processing circuit. (Oh, p116, 2-17; If there exists

two phases, a learning phase and a testing phase, then there exists a switch to alter

between the two phases.)

Claim 73

Oh teaches the learning circuit is comprised of a one-dimensional multiplier for

implementing the update rule. (Oh, abstract; 'One dimensional multiplier' of applicant is

equivalent to 'vector multiplier' of Oh.)

Claim 74

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Oh teaches the learning circuit is configured to receive an error signal indicative of a difference between an output signal and a target output signal. (**Oh**, p15:9 through p16:7; 'Output signal' and 'target output signal' of applicant is equivalent to 'actual output' and 'desired output' of Oh.)

Claim 73

Oh teaches multiple arrays of synaptic cells, which are interconnected to form a multi-layer neural network. (Oh, figure 6.2; Figure 6.2; The 2 dimensional array which each node is interconnected with other nodes of Oh is equivalent to 'multi-layer neural network' of applicant.)

Claim 76

Oh teaches the learning circuit further comprises a second multiplier configured to receive the error signal and generates a feedback propagation error signal for a previous layer in the multi-layer neural network. (Oh, p17:5-6 and equation (2.11); 'Error signal' of applicant is equivalent to 'w^{k-1} of Oh.)

Claim 78

Oh teaches a digital-to-analog converter interposed between the processing circuit and the digital memory in each synaptic cell. (**Oh**, p116:2-17; Oh discloses a digital to analog converter for outputting the value of the synaptic cell.)

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Claim 79

Oh teaches wherein each processing circuit in a column of synaptic cells outputs a component of a weighted sum. (**Oh**, p13:4 through p15:8; 'Processing circuit in a column' of applicant is equivalent to 'the 'hidden layer' of Oh. 'Outputs a component of a weighted sum' of applicant is equivalent to the output of each node in the hidden layer is a result of the weighted sum input.)

Claim 80

Oh teaches wherein an output of each multiplier in a column of synaptic cells is interconnected to form a weighted sum. (**Oh**, figure 5.5; Oh discloses the output of each multiplier (Y₁-Y₄) is fed back as a column to form an interconnection of synaptic cells.)

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made

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Claims 58, 77, 81-88 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Oh and Lehmann as set forth above, in view of Newton.

('Newton's Telecom Dictionary', referred to as **Newton**)

Claims 58, 77

Oh and Lehmann do not teach wherein the digital memory is implemented using flip-flops.

Newton teaches wherein the digital memory is implemented using flip-flops.

(Newton, p316, C2:43-45; It is common knowledge that a flip flop circuit is used to hold digital information.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Oh and Lehmann by disclosing the flip flop circuit as taught by Newton to have the digital memory is implemented using flip-flops.

For the purpose of using industrial standard technology for containing digital values.

Claim 81

Oh teaches an array of synaptic cells which are interconnected to form a feedforward neural network (Oh, p4:19 through p5:2),

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Oh does not teach wherein each synaptic cell includes: a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor.

Lehmann teaches wherein each synaptic cell includes: a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor. (Lehmann, abstract, p61, C1:36 through C2:21: 'Learning electrical circuit operable to update a local weight according to an update rule' of applicant is equivalent to 'analog storage (a capacitor) which would accumulate weight changes during learning' of Lehmann.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Oh by using a capacitor as taught by Lehman to have wherein each synaptic cell includes: a learning electrical circuit operable to update a local weight according to an update rule and store the local weight in a capacitor.

For the purpose of having a smaller footprint on the chip.

Oh teaches wherein the learning circuit is comprised of a one-dimensional multiplier for implementing the update rule (**Oh**, abstract; 'One dimensional multiplier' of applicant is equivalent to 'vector multiplier' of Oh.), and is configured to receive an error signal indicative of a difference between an output signal and a target output signal. (**Oh**, p15:9 through p16:7; 'Output signal' and 'target output signal' of applicant is equivalent to 'actual output' and 'desired output' of Oh.)

Oh does not teach a digital memory operable to store the local weight in a digital form

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Lehmann teaches a digital memory operable to store the local weight in a digital form. (Lehmann, abstract, p61, C1:36 through C2:21: 'A digital memory operable to store the local weight in a digital form' of applicant is equivalent to digital weight' of Lehmann.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the teachings of Oh by using digital memory as taught by Lehman to have a digital memory operable to store the local weight in a digital form.

For the purpose of having a stable source of reliable information without concerns of capacitor storage accuracy.

Oh and Lehmann do not teach wherein the digital memory is implemented using flip-flops.

Newton teaches wherein the digital memory is implemented using flip-flops.

(Newton, p316, C2:43-45; It is common knowledge that a flip flop circuit is used to hold digital information.) It would have been obvious to a person having ordinary skill in the art at the time of applicant's invention to modify the combined teachings of Oh and Lehmann by using flip flops as taught by Newton to have wherein the digital memory is implemented using flip-flops.

For the purpose of using established circuit design for reliable results.

Oh teaches a processing electrical circuit comprised of a one-dimensional multiplier which is operable to process a component of an analog input signal in accordance with the local weight stored in the digital memory (Oh, abstract; 'One

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dimensional multiplier' of applicant is equivalent to 'vector multiplier' of Oh.) a switch interposed between the processing circuit, the learning circuit, and the digital memory for selectively enabling the use of either the learning circuit or the digital memory by the processing circuit(Oh, p116, 2-17; If there exists two phases, a learning phase and a testing phase, then there exists a switch to alter between the two phases.); a digital-to-analog converter interposed between the processing circuit and the digital memory in each synaptic cell. (Oh, p116:2-17)

Claim 82

Oh teaches multiple arrays of synaptic cells which are interconnected to form a multi-layer neural network (**Oh**, figure 6.2; Figure 6.2; The 2 dimensional array which each node is interconnected with other nodes of Oh is equivalent to 'multi-layer neural network' of applicant.), wherein the learning circuit further comprises a second multiplier configured to receive the error signal and generates a feedback propagation error signal for a previous layer in the multi-layer neural network. (**Oh**, p17:5-6 and equation (2.11); 'Error signal' of applicant is equivalent to 'w^{k-1} of Oh. 'Previous layer' of applicant is equivalent to 'w^{k-1} of Oh.)

Claim 83

Oh teaches an output of each multiplier in a column of synaptic cells is interconnected to form a weighted sum, and each processing circuit in a column of synaptic cells outputs a component of the weighted sum. (**Oh**, figure 5.5; Oh discloses

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the output of each multiplier (Y_1-Y_4) is a result of the summation of weights for a given row. The output of each multiplier is returned into the interconnected array as a column.)

Claim 84

Oh teaches wherein each column of synaptic cells in the array of synaptic cells represents a neuron in the neural network. (Oh, Figure 6.2; One function of a neuron is to use its output for training to convergence. The column(s) of Figure 6.2 illustrate this by using the outcome of 'error' circuit for training purposes.)

Claim 85

Oh teaches an array of control cells positioned adjacent to the array of synaptic cells, where each control cell controls conversion of the local weight from an analog form to a digital form for a row of synaptic cells in the array of synaptic cells. (Oh, p116:2-17; Oh discloses an analog to digital converter to have analog input stored in digital form.)

Claim 86

Oh teaches wherein the control cells operate synchronously with each other to control conversion. (**Oh**, p12:14 through p13:3; Oh discloses in the McCulloch-Pitts model, in which neurons operate in asynchronous manner. Using Oh's model in which

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the feedforward neural network is interconnected allows synchronously operations to occur.)

Claim 87

Oh teaches wherein each control cell includes a multiplexer configured to select a synaptic cell in a given row of synaptic cells (**Oh**, figure 6.2; Figure 6.2 illustrates numerous multiplexers within the recurrent neural network.) and an analog-to-digital converter. (**Oh**, p116:2-17)

Claim 88

Oh teaches wherein the analog-to-digital converter for a given row of synaptic cells is interconnected to each synaptic cell in the given row of synaptic cells. (Oh, p116:2-17)

Response to Arguments

- Applicant's arguments filed on December 19, 2007 for claims 43-48, 51-67, 70-88 have been fully considered but are not persuasive.
- 6. In reference to the Applicant's argument:

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Applicant argues that Roenle does not teach weights being stored in both a capacitor and in digital form.

Examiner's response:

Examiner agrees and found another art of reference, Lehmann which discloses both the use of a capacitor memory and digital memory forms in the same uses as the invention. Office Action stands.

Conclusion

- The prior art of record and not relied upon is considered pertinent to the applicant's disclosure.
 - -'Analog VLSI implementation of neural systems' Mead
 - -'Computer simulation in brain science': Cotterill
- -'A four-quadrant subthreshold mode multiplier for analog neural-network applications': Coue, D.
- -'Programmable current-mode neural network for implementation in analogue MOS VLSI': TH Borgstrom
- -'A Dedicated Multi-Chip Programmable System for Cellular Neural Networks': M Salemo
- -'Programmable-weight building blocks for analog VLSI neural network processors': RC Chang

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8. Claims 43-48, 51-67, 70-88are rejected.

Correspondence Information

 Any inquiry concerning this information or related to the subject disclosure should be directed to the Examiner Peter Coughlan, whose telephone number is (571) 272-5990. The Examiner can be reached on Monday through Friday from 7:15 a.m. to 3:45 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor David Vincent can be reached at (571) 272-3080. Any response to this office action should be mailed to:

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(571) 272-3150 (for formal communications intended for entry.)

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866-217-9197 (toll free).

/P. C./

Examiner, Art Unit 2129

Peter Coughlan

3/3/2008